

# The groups of points on abelian surfaces over finite fields

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**ABSTRACT.** Let  $A$  be an abelian surface over a finite field  $k$ . The  $k$ -isogeny class of  $A$  is uniquely determined by a Weil polynomial  $f_A$  of degree 4. We give a classification of the groups of  $k$ -rational points on varieties from this class in terms of  $f_A$ .

## 1. Introduction

Classical results of Tate and Honda give us an explicit description for the set of isogeny classes of abelian varieties over a finite field  $k$ . Namely, an isogeny class is uniquely determined by a so called Weil polynomial of any variety from this class. It looks natural to consider classification problems concerning abelian varieties inside a given isogeny class. In this paper we classify groups of  $k$ -rational points on abelian surfaces. Xing partly classified groups of points on supersingular surfaces in [Xi94] and in [Xi96]. In the paper [Ry10] we show that one could use the language of Hodge polygons to describe groups of points. Moreover, we classify this groups for abelian varieties with commutative endomorphism algebra. An abelian variety has commutative endomorphism algebra if and only if its Weil polynomial has no multiple roots. We could say that this is the general case. From the classification of Weil polynomials of abelian surfaces due to Rück, Xing, Maisner and Nart [MN02] we get that there are only three cases more. Namely,

- the Weil polynomial is a square of a polynomial of degree 2 without multiple roots;
- the Weil polynomial is of the form  $P(t)(t \pm \sqrt{q})^2$ , where  $P(t)$  has no multiple roots, and  $\sqrt{q} \in \mathbb{Z}$ ;
- the Weil polynomial equals  $(t \pm \sqrt{q})^4$ , and  $\sqrt{q} \in \mathbb{Z}$ .

The paper is devoted to a classification of groups of points for these three cases. The author is grateful to referee for providing useful corrections on the paper.

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## 2. Main result

Throughout this paper  $k$  is a finite field  $\mathbb{F}_q$  of characteristic  $p$ . Let  $A$  be an abelian variety of dimension  $g$  over  $k$ , and let  $\bar{k}$  be an algebraic closure of  $k$ . Fix a prime number  $\ell$ . For a natural number  $m$  denote by  $A_m$  the kernel of multiplication by  $\ell^m$  in  $A(\bar{k})$ . Let  $T_\ell(A) = \varprojlim A_m$  be the Tate module, and  $V_\ell(A) = T_\ell(A) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$  be the corresponding vector space over  $\mathbb{Q}_\ell$ . If  $\ell \neq p$ , then  $T_\ell(A)$  is a free  $\mathbb{Z}_\ell$ -module of rank  $2g$ . The Frobenius endomorphism  $F$  of  $A$  acts on the Tate module by a semisimple linear operator, which we also denote by  $F : T_\ell(A) \rightarrow T_\ell(A)$ . The characteristic polynomial

$$f_A(t) = \det(t - F|T_\ell(A))$$

is called a *Weil polynomial* of  $A$ . It is a monic polynomial of degree  $2g$  with rational integer coefficients independent of the choice of prime  $\ell \neq p$ . It is well known that for isogenous varieties  $A$  and  $B$  we have  $f_A(t) = f_B(t)$ . Moreover, Tate proved that the isogeny class of abelian variety is determined by its characteristic polynomial, that is  $f_A(t) = f_B(t)$  implies that  $A$  is isogenous to  $B$  (see [WM69]). If  $\ell = p$ , then  $T_p(A)$  is called a *physical Tate module*. In this case,  $f_A(t) = f_1(t)f_2(t)$ , where  $f_1, f_2 \in \mathbb{Z}_p[t]$ , and  $f_1(t) = \det(t - F|T_p(A))$ . Moreover  $d = \deg f_1 \leq g$ , and  $f_2(t) \equiv t^{2g-d} \pmod{p}$  (see [De78]).

Recall some definitions and results from [Ry10]. For an abelian group  $G$  we denote by  $G_\ell$  the  $\ell$ -primary component of  $G$ . The group  $A(k)$  is a kernel of  $1 - F : A \rightarrow A$ , and the  $\ell$ -component

$$A(k)_\ell \cong T_\ell(A)/(1 - F)T_\ell(A)$$

(see [Ry10, Proposition 3.1]). The proof of the following lemma is essentially the proof of [Ry10, Theorem 1.1].

**LEMMA 2.1.** *Let  $A$  be an abelian variety over  $k$ , and let  $G$  be a finite abelian group of order  $f_A(1)$ . Suppose that for any prime number  $\ell$  dividing order of  $G$  we have an  $F$ -invariant sublattice  $T_\ell \subset T_\ell(A)$  such that  $G_\ell \cong T_\ell/(1 - F)T_\ell$ . Then there exists an abelian variety  $B$  over  $k$ , and an isogeny  $\varphi : B \rightarrow A$  such that  $T_\ell(\varphi)$  induces an isomorphism  $T_\ell(B) \cong T_\ell$  for any  $\ell$ . In particular,  $B(k) \cong G$ .*

We need a modification of this statement. Suppose we are looking for an abelian variety  $B$  such that  $B(k) \cong G$ , and  $A$  and  $B$  are isogenous. It is enough to find free  $\mathbb{Z}_\ell$  module  $T_\ell$  for any  $\ell$  with semisimple action  $F : T_\ell \rightarrow T_\ell$  such that  $f_A(t) = \det(t - F)$ , and  $G_\ell \cong T_\ell/(1 - F)T_\ell$ . Indeed, since the Frobenius action on the vector space  $V_\ell$  is semisimple, it is determined up to isomorphism by the Weil polynomial  $f_A$ . We can reconstruct this action as follows. Put  $V'_\ell = T_\ell \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$ , and choose any inclusion  $T_\ell(A) \rightarrow V'_\ell$  such that the image of  $T_\ell(A)$  contains  $T_\ell$ . Clearly, this inclusion induces an isomorphism of  $F$ -vector spaces  $V_\ell \rightarrow V'_\ell$ .

Let  $Q(t) = \sum_i Q_i t^i$  be a polynomial of degree  $d$  over  $\mathbb{Q}_\ell$ , and let  $Q(0) = Q_0 \neq 0$ . Take the lower convex hull of the points  $(i, \text{ord}_\ell(Q_i))$  for  $0 \leq i \leq d$  in  $\mathbb{R}^2$ . The boundary of this region without vertical lines is called the *Newton polygon*  $\text{Np}_\ell(Q)$  of  $Q$ . Its vertices have integer coefficients, and  $(0, \text{ord}_\ell(Q_0))$  and  $(d, \text{ord}_\ell(Q_d))$  are its endpoints. One can associate to  $\text{Np}(Q)$  the set of its slopes, and each slope has a multiplicity. The Newton polygon of  $Q$  is uniquely determined by this data. Suppose we have two polynomials  $Q_1$  and  $Q_2$ . Then the slope set for  $\text{Np}(Q_1Q_2)$

is the union of slope sets for  $\text{Np}(Q_1)$  and  $\text{Np}(Q_2)$ , and multiplicities of slopes of  $\text{Np}(Q_1 Q_2)$  are sums of multiplicities of corresponding slopes of  $\text{Np}(Q_1)$  and  $\text{Np}(Q_2)$ .

We associate to  $A(k)_\ell$  a polygon of special type.

**DEFINITION 2.2.** Let  $0 \leq m_1 \leq m_2 \leq \dots \leq m_r$  be nonnegative integers, and let  $H = \bigoplus_{i=1}^r \mathbb{Z}/\ell^{m_i} \mathbb{Z}$  be an abelian group of order  $\ell^m$ . The *Hodge polygon*  $\text{Hp}_\ell(H, r)$  of a group  $H$  is the convex polygon with vertices  $(i, \sum_{j=1}^{r-i} m_j)$  for  $0 \leq i \leq r$ . It has  $(0, m)$  and  $(r, 0)$  as its endpoints, and its slopes are  $-m_r, \dots, -m_1$ . We write  $\text{Hp}(H) = (m_1, \dots, m_r)$ .

Note that some of the numbers  $m_i$  could be zero, in other words, the Hodge polygon is allowed to have zero slopes. The isomorphism class of  $H$  depends only on  $\text{Hp}_\ell(H, r)$ . When we work with groups of points on abelian surfaces we often write  $\text{Hp}_\ell(H) = \text{Hp}_\ell(H, 4)$ . We need the following well-known result (see [Ke10, 4.3.8] or [BO, 8.40]).

**THEOREM 2.3.** *Let  $E$  be an injective endomorphism of a free  $\mathbb{Z}_\ell$ -module  $T$  of finite rank. Let  $f(t) = \det(E - t)$  be its characteristic polynomial. Then  $\text{Np}_\ell(f)$  lies on or above the Hodge polygon of  $T/ET$ , and these polygons have same endpoints.*

Weil polynomials of abelian surfaces were classified by Rück, Xing, Maisner and Nart [MN02]. We use a simplified version of this classification. Namely, we use that for the Weil polynomial only four cases of the main theorem below occur.

**THEOREM 2.4.** *Let  $A$  be an abelian surface over a finite field with Weil polynomial  $f_A$ . Let  $G$  be an abelian group of order  $f_A(1)$ . Then  $G$  is a group of points on some variety in the isogeny class of  $A$  if and only if for any prime number  $\ell$*

- (1) *if  $f_A$  has no multiple roots, then  $\text{Np}_\ell(f_A(1-t))$  lies on or above  $\text{Hp}_\ell(G_\ell)$ ;*
- (2) *if  $f_A = P_A^2$ , and  $P_A$  has no multiple roots, then  $G_\ell \cong G_\ell^{(1)} \oplus G_\ell^{(2)}$ , where  $G_\ell^{(1)}$  and  $G_\ell^{(2)}$  are  $\ell$ -primary abelian groups with one or two generators such that  $\text{Np}_\ell(P_A(1-t))$  lies on or above  $\text{Hp}_\ell(G_\ell^{(1)}, 2)$  and  $\text{Hp}_\ell(G_\ell^{(2)}, 2)$ .*
- (3) *Suppose  $f_A = (t^2 - bt + q)(t \pm \sqrt{q})^2$ , and  $\sqrt{q} \in \mathbb{Z}$ , where  $f(t) = t^2 - bt + q$  has no multiple roots; let*
  - $\text{Hp}_\ell(G_\ell) = (m_1, m_2, m_3, m_4)$ ;
  - $m = \text{ord}_\ell(f(1))$ ;
  - $m_q = \text{ord}_\ell(1 \pm \sqrt{q})$ ;
  - $m_b = m_1 + m_3 - m_q$ .

*Then*

- (a)  $0 \leq m_b \leq \text{ord}_\ell(b-2)$ ;
- (b)  $\min(m_b, m_q) \geq m_1$ ;
- (c)  $\min(m - m_b, m_q) \geq m_2$ .

- (4) *Finally, if  $f_A(t) = (t \pm \sqrt{q})^4$ , and  $\sqrt{q} \in \mathbb{Z}$ , then  $G \cong (\mathbb{Z}/(1 \pm \sqrt{q})\mathbb{Z})^4$ .*

**PROOF.** Case (1) follows from [Ry10]. Let us prove that conditions of the case (2) are necessary. First, suppose that a prime  $\ell$  divides  $f_A(1)$ , but  $P_A(1-t) \not\equiv t^2 \pmod{\ell}$ . It is equivalent to say that  $P_A(1-t) = (t-x_1)(t-x_2)$ , where  $x_1, x_2 \in \mathbb{Z}_\ell$ , and  $\ell$  divides  $x_1$ , but not divides  $x_2$ . Thus  $T_\ell(A)$  is a direct sum of two modules  $T_1$  and  $T_2$  of  $\mathbb{Z}_\ell$ -rank two such that  $1-F$  acts on  $T_i$  as multiplication by  $x_i$ . It follows that

$$G_\ell \cong T_1/(1-F)T_1 \cong (\mathbb{Z}_\ell/x_1\mathbb{Z}_\ell)^2,$$

and  $G_\ell^{(1)} \cong G_\ell^{(2)} \cong \mathbb{Z}_\ell/x_1\mathbb{Z}_\ell$ . The rest follows from Theorem 3.4 below. To prove that the conditions of (2) are sufficient we have to find an abelian variety  $B$  with a given group of points  $G$ . By Lemma 2.1 it is enough to construct a Tate module  $T_\ell(B)$  for any prime  $\ell$  dividing  $f_A(1)$ . By [Ry10, Theorem 3.2] there exist  $F$ -invariant  $\mathbb{Z}_\ell$ -modules  $T'_1$  and  $T'_2$  such that  $T'_i/(1-F)T'_i \cong G_\ell^{(i)}$ , and  $T'_i \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell \cong \mathbb{Q}_\ell[t]/P_A(t)\mathbb{Q}_\ell[t]$  for  $i \in \{1, 2\}$ . Now we let  $T_\ell(B) \cong T'_1 \oplus T'_2$ .

We prove the case (3) in the last section. The case (4) is obvious since  $F$  acts as multiplication by  $\mp\sqrt{q}$ .  $\square$

### 3. Matrix factorizations

In this section we finish the proof of case (2). We assume that  $\ell$  divides  $P_A(1)$  and that

$$P_A(1-t) \equiv t^2 \pmod{\ell}.$$

In this case  $\ell \neq p$ . Indeed, let  $P_A(t) = t^2 - bt + c$ , where  $c = \pm q$ . If  $\ell = p$  satisfies our assumptions, we get that  $2-b$  and  $1-b$  are both divisible by  $p$ .

In [Ry] we show that the Tate module  $T_\ell(A)$  corresponds to a matrix factorization. Fix a pair of polynomials  $f, f_1 \in \mathbb{Z}_\ell[t]$  and a positive integer  $r$ . Put  $R = \mathbb{Z}_\ell[t]/f_1\mathbb{Z}_\ell[t]$ . Denote by  $x \in R$  the image of  $t$  under the natural projection from  $\mathbb{Z}_\ell[t]$ .

**DEFINITION 3.1.** A matrix factorization  $(X, Y)$  is a pair of  $r \times r$  matrices with coefficients in  $\mathbb{Z}_\ell[t]$  such that  $\det X = f$  and  $YX = f_1 \cdot I_r$ , where  $I_r$  is the identity matrix.

Suppose we are given a matrix factorization  $(X, Y)$ . The matrix  $X$  defines a map of free  $\mathbb{Z}_\ell[t]$  modules:

$$\mathbb{Z}_\ell[t]^r \xrightarrow{X} \mathbb{Z}_\ell[t]^r.$$

Its cokernel  $T$  is annihilated by  $f_1$ . It is equivalent to say that  $T$  is an  $R$ -module. We see that the matrix factorization  $(X, Y)$  corresponds to a finitely generated  $R$ -module  $T$  given by the presentation:

$$(3.1) \quad \mathbb{Z}_\ell[t]^r \xrightarrow{X} \mathbb{Z}_\ell[t]^r \rightarrow T \rightarrow 0.$$

**PROPOSITION 3.2.** [Ry, Proposition 5.2] Suppose  $f_1 \equiv t^{d_1} \pmod{\ell}$ , and  $\deg f_1 = d_1$ . The module  $T$  is free of finite rank over  $\mathbb{Z}_\ell$ , and characteristic polynomial of the action of  $x$  on  $T$  is equal to  $f$ .

The following proposition shows that modules over  $R$  give rise to matrix factorizations.

**PROPOSITION 3.3.** Let  $T$  be an  $R$ -module which is free of finite rank over  $\mathbb{Z}_\ell$ . Suppose that  $T$  can be generated over  $R$  by  $r$  elements, and that  $\text{Hp}(T/xT) = (m_1, \dots, m_r)$ . Then there exists a matrix factorization  $(X, Y)$  such that  $T$  has presentation (3.1), and

$$X \equiv \text{diag}(\ell^{m_1}, \dots, \ell^{m_r}) \pmod{t\mathbb{Z}_\ell[t]}.$$

**PROOF.** By [Ry, Proposition 5.3] there exists a matrix factorization  $(X_1, Y_1)$  such that  $T$  has presentation

$$(3.2) \quad \mathbb{Z}_\ell[t]^r \xrightarrow{X_1} \mathbb{Z}_\ell[t]^r \rightarrow T \rightarrow 0.$$

Take the cokernel of the multiplication by  $t$  of the presentation(3.2); we get

$$\mathbb{Z}_\ell^r \xrightarrow{\bar{X}_1} \mathbb{Z}_\ell^r \rightarrow T/xT \rightarrow 0.$$

There exist matrices  $M_1$  and  $M_2$  over  $\mathbb{Z}_\ell$  such that  $\det M_1 = \det M_2 = 1$  and  $\bar{X} = M_1 \bar{X}_1 M_2$  is a diagonal matrix. Since

$$\mathbb{Z}_\ell^r / \bar{X} \mathbb{Z}_\ell^r \cong T/xT,$$

we get that  $\bar{X} = \text{diag}(\ell^{m_1}, \dots, \ell^{m_r})$ . Now take  $X = M_1 X_1 M_2$  and  $Y = M_2^{-1} Y_1 M_1^{-1}$ .  $\square$

**THEOREM 3.4.** *Assume that  $f_A = P_A^2$ , and  $P_A(1-t) \equiv t^2 \pmod{\ell}$ . Suppose that  $P_A$  has no multiple roots. Then  $A(k)_\ell \cong G_\ell^{(1)} \oplus G_\ell^{(2)}$ , where  $G_\ell^{(1)}$  and  $G_\ell^{(2)}$  are  $\ell$ -primary abelian groups with one or two generators such that  $\text{Np}_\ell(P_A(1-t))$  lies on or above  $\text{Hp}_\ell(G_\ell^{(1)}, 2)$  and  $\text{Hp}_\ell(G_\ell^{(2)}, 2)$ .*

**PROOF.** Apply Theorem 2.3 to  $T = T_\ell(A)$  and  $E = 1 - F$ . The slopes of  $\text{Np}(P_A(1-t))$  are the slopes of  $\text{Np}(P_A(1-t)^2)$ , but multiplicities are doubled. We get that  $m_1$  is not greater than the smallest slope of  $\text{Np}(P_A(1-t))$ , i.e. that  $\ell^{m_1}$  divides  $b-2$ . It is enough to prove that  $m := \text{ord}_\ell(P_A(1)) = m_1 + m_4$ . Indeed, in this case  $\text{Np}_\ell(P_A(1-t))$  lies on or above  $\text{Hp}_\ell(G_\ell^{(1)}, 2) = (m_1, m_4)$ . Moreover,  $m = m_2 + m_3$ , and by Lemma 3.5 below  $\text{Np}_\ell(P_A(1-t))$  lies on or above  $\text{Hp}_\ell(G_\ell^{(2)}, 2) = (m_2, m_3)$ .

Note that  $m_4$  is a minimal number such that  $\frac{\ell^{m_4}}{F-1} \in \text{End } A$ , and  $m_1$  is a maximal number such that  $\ell^{m_1}$  divides  $F-1$ . From the equality  $(F-1)^2 - (b-2)(F-1) - P_A(1) = 0$  we get that

$$\frac{P_A(1)}{(F-1)\ell^{m_1}} = \frac{F-1}{\ell^{m_1}} - \frac{b-2}{\ell^{m_1}} \in \text{End } A.$$

It follows that  $m \geq m_1 + m_4$ .

The Frobenius action on the Tate module  $T_\ell(A)$  is determined up to isomorphism by a module structure over the ring  $R = \mathbb{Z}_\ell[t]/P_A(1-t)\mathbb{Z}_\ell[t]$  with  $t$  acting as  $1-F$ . Suppose we have an  $R$ -module  $T$  such that  $\text{Hp}(T/xT) = (m_1, m_2, m_3, m_4)$ . By Proposition 3.3 there exists a matrix factorization  $(X, Y)$  such that  $\det X = f_A(1-t)$  and

$$X \equiv \text{diag}(\ell^{m_1}, \ell^{m_2}, \ell^{m_3}, \ell^{m_4}) \pmod{t\mathbb{Z}_\ell[t]}.$$

The matrix factorization  $(Y, X)$  corresponds to a module  $T'$  over  $R$ , which is generated by 4 elements and the characteristic polynomial of  $x$  is equal to  $\det Y = P_A^4(1-t)/f_A(1-t) = f_A(1-t)$ . Moreover,

$$Y \equiv \text{diag}(\ell^{m-m_1}, \ell^{m-m_2}, \ell^{m-m_3}, \ell^{m-m_4}) \pmod{t\mathbb{Z}_\ell[t]},$$

i.e.  $\text{Hp}(T'/xT') = (m-m_1, m-m_2, m-m_3, m-m_4)$ . By Lemma 2.1 there exists an abelian surface  $B$  such that  $T_\ell(B) \cong T'$ . From the first part of the proof applied to  $T_\ell(B)$  it follows that  $(m-m_1) + (m-m_4) \leq m$ . Thus  $m = m_1 + m_4$ .  $\square$

**LEMMA 3.5.**  $m_2 \leq \text{ord}_\ell(b-2)$ .

**PROOF.** Let  $L$  be a splitting field of  $P_A$  over  $\mathbb{Q}_\ell$ , and let  $S$  be its ring of integers. We have  $P_A(1-t) = (t-a)(t-c)$ , where  $a, c \in S$ , and  $\text{ord}_\ell(a) \leq \text{ord}_\ell(b-2)$ . (Here  $\text{ord}_\ell(a) \in \frac{1}{2}\mathbb{Z}$ .) Consider the map

$$E = F + a - 1 : T_\ell(A) \otimes_{\mathbb{Z}_\ell} S \rightarrow T_\ell(A) \otimes_{\mathbb{Z}_\ell} S.$$

Then  $1 - F$  acts on  $T_1 = \ker E$  as multiplication by  $a$ . We get an exact sequence:

$$0 \rightarrow T_1 \rightarrow T_\ell(A) \otimes_{\mathbb{Z}_\ell} S \rightarrow T_2 = \text{Im } E \rightarrow 0,$$

where  $\text{rk}_S T_1 = \text{rk}_S T_2 = 2$ . Act by  $1 - F$  on this sequence. By snake lemma we get an exact triple of abelian groups:

$$0 \rightarrow (S/aS)^2 \rightarrow A(k)_\ell \otimes_{\mathbb{Z}_\ell} S \rightarrow G' \rightarrow 0.$$

If  $S = \mathbb{Z}_\ell$  then  $A(k)_\ell$  contains  $(\mathbb{Z}_\ell/a\mathbb{Z}_\ell)^2$ , and  $G' = T_2/(1 - F)T_2$  is generated by 2 elements. It follows that  $m_2 \leq \text{ord}_\ell(a)$ . If  $S \neq \mathbb{Z}_\ell$ , then  $S \cong \mathbb{Z}_\ell^2$  as  $\mathbb{Z}_\ell$ -module and we multiply everything by two:  $A(k)_\ell^2$  contains

$$(S/aS)^2 \cong (\mathbb{Z}/[\text{ord}_\ell(a)]\mathbb{Z})^2 \oplus (\mathbb{Z}/[\text{ord}_\ell(a)]\mathbb{Z})^2,$$

and  $G' = T_2/(1 - F)T_2$  is generated by 4 elements. Thus  $m_2 \leq [\text{ord}_\ell(a)] \leq \text{ord}_\ell(b - 2)$ .  $\square$

#### 4. Proof of case 3

The conditions (b) and (c) are equivalent to the following inequalities:

- (1)  $m_1 \leq m_b$ , which is equivalent to  $m_3 \geq m_q$ ;
- (2)  $m_1 \leq m_q$ ;
- (3)  $m_2 \leq m_q$ ;
- (4)  $m_2 \leq m - m_b$ , which is equivalent to  $m_4 \geq m_q$ , since  $f_A(1) = m_1 + m_2 + m_3 + m_4 = m + 2m_q$ .

Note that inequalities  $m_b \geq 0$  and (4) follow from (1), and (2) follows from (3). We have to prove (3), (1) and the second part of (a).

Let  $\alpha = 1 \pm \sqrt{q}$ , and let  $G_\ell = A(k)_\ell$ . Consider the map  $E = F + \alpha - 1 : T_\ell(A) \rightarrow T_\ell(A)$ . Then  $1 - F$  acts on  $T_1 = \ker E$  by multiplication by  $\alpha$ , and  $1 - F$  acts on  $T_2 = \text{Im } E$  with characteristic polynomial  $f(1 - t)$ . We get an exact sequence:

$$0 \rightarrow T_1 \rightarrow T_\ell(A) \rightarrow T_2 \rightarrow 0,$$

and by the snake lemma

$$0 \rightarrow (\mathbb{Z}/\ell^{m_q}\mathbb{Z})^2 \rightarrow G_\ell \rightarrow G' \rightarrow 0.$$

The group  $G_\ell$  contains  $(\mathbb{Z}/\ell^{m_q}\mathbb{Z})^2$  only if  $m_3 \geq m_q$ , and  $G'$  has two generators only if (3) holds.

We now prove (a). Since  $\text{Hp}_\ell(T_\ell(A)/(F - 1)T_\ell(A)) = (m_1, m_2, m_3, m_4)$  there exists a basis  $v_1, v_2, v_3, v_4 \in T_\ell(A)$  such that

$$(F - 1)v_1 \in \ell^{m_1}T_\ell(A), (F - 1)v_2 \in \ell^{m_2}T_\ell(A),$$

$$(F - 1)v_3 \in \ell^{m_3}T_\ell(A), \text{ and } (F - 1)v_4 \in \ell^{m_4}T_\ell(A). \quad (*)$$

The vectors  $E v_4$  and  $E v_3$  generate a lattice  $T_3 \subset T_2$  of rank 2, thus  $1 - F$  acts on  $T_3$  with characteristic polynomial  $f(1 - t)$ . By Theorem 2.3 the Newton polygon  $\text{Np}_\ell(f(1 - t))$  lies on or above  $\text{Hp}(T_3/(F - 1)T_3, 2)$ . Thus, we can find a linear combination  $v'_3$  of  $v_4$  and  $v_3$  such that  $v_1, v_2, v'_3, v_4$  is a basis and  $(*)$  holds, but  $(F - 1)E v'_3$  is not divisible by  $(b - 2)\ell$  in  $T_3$ . On the other hand,  $F - 1 = E - \alpha$ , and since  $m_3 \geq m_q$ , it follows that  $E v'_3$  is not divisible by  $\alpha\ell$  in  $T_\ell(A)$ . We proved that  $(F - 1)E v'_3$  is not divisible by  $\alpha(b - 2)\ell$  in  $T_\ell(A)$ . By definition,  $(F - 1)v'_3$  is divisible by  $\ell^{m_3}$  in  $T_\ell(A)$ . By the first inequality,  $m_q \geq m_1$ ; thus for any vector

$v \in T_\ell(A)$  the vector  $Ev$  is divisible by  $\ell^{m_1}$  in  $T_\ell(A)$ . We finally get that  $(F-1)Ev'_3$  is divisible by  $\ell^{m_1+m_3}$ , but not divisible by  $\ell\alpha(b-2)$  in  $T_\ell(A)$ . Thus

$$m_1 + m_3 < m_q + \text{ord}_\ell(2-b) + 1.$$

Let us construct an abelian variety with a given group of points. We give an explicit construction of the Tate module  $T_\ell$  such that  $T_\ell/(F-1)T_\ell \cong G_\ell$ , and apply Lemma 2.1. By assumption, we have an isomorphism of  $F$ -vector spaces:

$$V_\ell(A) \cong \mathbb{Q}_\ell[t]/P_A(1-t) \oplus (\mathbb{Q}_\ell[t]/(\alpha-t))^2,$$

where  $t$  acts as  $1-F$  on the right-hand side. Thus there exists a basis  $v_1, v_2, v_3, v_4$  of  $V_\ell(A)$  such that

$$\begin{aligned} (1-F)v_1 &= \ell^{m_b}v_2 + (b-2)v_1, & (1-F)v_2 &= -\ell^{-m_b}f(1)v_1, \\ (1-F)v_3 &= \alpha v_3, & (1-F)v_4 &= \alpha v_4. \end{aligned}$$

Let  $T_\ell$  be the  $\mathbb{Z}_\ell$ -submodule of  $V_\ell(A)$  generated by

$$\begin{aligned} u_1 &= v_1 + v_3, & u_3 &= v_2 + v_4, \\ u_2 &= \frac{(1-F)v_1 - (b-2+\alpha)v_1 - \ell^{m_b}v_3}{\ell^{m_1}}, \text{ and} \\ u_4 &= \frac{(1-F)v_3 - \alpha v_3 + \frac{f(1)}{\ell^{m_b}}v_1}{\ell^{m_2}}. \end{aligned}$$

A straightforward calculation shows that

$$\begin{aligned} (1-F)u_1 &= \ell^{m_1}u_2 + (b-2+\alpha)u_1 + \ell^{m_b}u_3; \\ (1-F)u_3 &= \ell^{m_2}u_4 + \alpha u_3 - \frac{f(1)}{\ell^{m_b}}u_1; \\ (1-F)u_2 &= \frac{-(b-2)\alpha u_1 - \ell^{m_b}\alpha u_3}{\ell^{m_1}}; \\ (1-F)u_4 &= \frac{f(1)\alpha u_1}{\ell^{m_2+m_b}} \in \ell^{m_4}T_\ell. \end{aligned}$$

By (a) and (1), the vector  $(1-F)u_1 \in \ell^{m_1}T_\ell$ ; by (3) and (4), the vector  $(1-F)u_3 \in \ell^{m_2}T_\ell$ ; by (a) the vector  $(1-F)u_2 \in \ell^{m_3}T_\ell$ ; by definition of  $m_b$ , the vector  $(1-F)u_4 \in \ell^{m_4}T_\ell$ . We get that the natural surjective map  $T_\ell \rightarrow G_\ell$  factors through a surjective map  $T_\ell/(1-F)T_\ell \rightarrow G_\ell$ . We conclude that  $T_\ell/(1-F)T_\ell \cong G_\ell$ , since orders of both groups coincide.

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